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PATENT  
Docket No. 99977-410  
HOP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Bernard J. Carroll  
Serial No. : Not Yet Assigned  
Filing Date : December 4, 2000 (Herewith)  
For: Phenotype Modifying Genetic Sequences  
Examiner : Not Yet Assigned  
Art Unit : Not Yet Assigned

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Sarah A. Marcano  
Sarah A. Marcano

Assistant Commissioner for Patents  
Washington D.C. 20231

Sir:

PRELIMINARY AMENDMENT

Applicants respectfully request entry of the present Preliminary Amendment in the above-identified patent application.

IN THE CLAIMS:

Please amend the following claims without prejudice:

*NE  
Cleared up*

- In Claim 4, line 1, please delete "or 4";
- In Claim 7, line 1, after "1 to 6", please add -or 21--;
- In Claim 14, line 1, please delete "or 13".

Please add the following claims:

--21. A PMGS according to claim 4 wherein the amylase is  $\alpha$ -amylase.

*B*